

Annexure –B

Complaint data for Portfolio Management Services

A. Date for the month ending November 2022:

Sr. No	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending Complaints >3 months	Average Resolution time in day ^
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	-
2	SEBI (Scores)	Nil	Nil	Nil	Nil	Nil	-
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	-
	Grand Total						

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

B. Trends of Monthly Disposal of Complaints

Sr. no	Month	Carried forward from previous year	Received	Resolved *	Pending #
1	Dec 2021	Nil	Nil	Nil	Nil
2	Jan 2022	Nil	Nil	Nil	Nil
3	Feb 2022	Nil	Nil	Nil	Nil
4	March 2022	Nil	Nil	Nil	Nil
5	April 2022	Nil	Nil	Nil	Nil
6	May 2022	Nil	Nil	Nil	Nil
7	June 2022	Nil	Nil	Nil	Nil
8	July 2022	Nil	Nil	Nil	Nil
9	August 2022	Nil	Nil	Nil	Nil
10	Sept 2022	Nil	Nil	Nil	Nil
11	Oct 2022	Nil	Nil	Nil	Nil
12	Nov 2022	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

C. Trends of Annual disposal of Complaints

Sr. no	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-2019	Nil	Nil	Nil	Nil
2	2019-2020	Nil	Nil	Nil	Nil
3	2020-2021	Nil	Nil	Nil	Nil
4	2021-2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

** Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.